IN THE UNITED STATES IT AND TRADEMARK OF

In re patent application of

Toru Hosoi

Serial No.:

09/892,500

Filing Date: June 28, 2001

2633

Examiner:

Unknown

For:

ARRAYED WAVEGUIDE GRATING AND OPTICAL COMMUNICATION

SYSTEM USING ARRAYED WAVEGUIDE GRATING

Assistant Commissioner of Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT Technology Center 2600

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents, cited in the European Search Reports and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

McGinn & Gibb, PLLC

8321 Old Courthouse Road, Suite 200

Vienna, Virginia 22182-3817 (703) 761-4100

Customer No. 21254

Registration No.: 34,386